CERTIFICATE OF ELECTRONIC TRANSMISSION

I hereby certify that this correspondence for Application No. 10/726,329 is being electronically transmitted to Technology Center 3733, via EFS-WEB, on December 18,2006.

/David H. Brinkman/ 12/18/06 David H. Brinkman, Reg. No. 40,532 Date

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Erik E. Emstad et al.

Serial No.: 10/726,329

Filed: December 1, 2003

Confirmation No.: 3659 Group Art Unit: 3733

Examiner: Swiger III, James L.

Title: SPINAL ACCESS INSTRUMENT

Atty. Docket No.: ZMS-MI18US

Cincinnati, OH December 18, 2006

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

RESPONSE TO RESTRICTION REQUIREMENT UNDER 35 U.S.C. § 121

In response to the Restriction Requirement mailed on November 30, 2006, Applicants hereby provisionally elect claims 1-21 of Group I, with traverse, for prosecution in this application and without prejudice to filing a separate divisional application directed to non-elected claims.

Applicants elect Species A as shown in Figures 1-15. Claims 1-12 and 16-21 read on elected Species A.

Applicants respectfully submit that the outer portal member (118) of Species B (Figs. 16-18) is an alternative embodiment of the outer portal member (18) of Species A (Figs. 1-15). In one embodiment (Species A), the outer portal member (18) includes a single sleeve portion (70) while the outer portal member (118) (Species B) includes a sleeve portion (170) comprising a pair of movable sleeve sections (186, 188). Accordingly, Applicants respectfully submit that independent claims 1 and 2 (from which claims 13-15 depend) are generic claims.

Applicants do not believe that this response requires that any fees be submitted, however, if any fees are deemed necessary, these may be charged to Deposit Account No. 23-3000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

/David H. Brinkman/ David H. Brinkman, Reg. No. 40,532

2700 Carew Tower 441 Vine Street Cincinnati, OH 45202 (513) 241-2324 - Voice (513) 421-7269 - Facsimile